

## DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below adjacent to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of subject matter (process, machine, manufacture, or composition of matter, or an improvement thereof) which is claimed and for which a patent is sought by way of the application entitled

### A TRANSACTION SYSTEM AND METHOD USING CASH CARDS AND A CHARGE SETTLEMENT NETWORK

which (check) ☒ is attached hereto.

☐ and is amended by the Preliminary Amendment attached hereto.

☐ was filed on \_\_\_\_\_ Application Serial No. \_\_\_\_\_

☐ and was amended on \_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
Number	Country	Day/Month/Year Filed	Yes	No
N/A			<input type="checkbox"/>	<input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number	Filing Date
N/A	

004760" 42479960

Application Serial No.	Filing Date	Status (patented, pending, abandoned)
09/384,581	August 27, 1999	Pending
09/493,886	January 28, 2000	Pending


Gary R. Stanford, Reg. No. 35,689


Attorney for Applicant(s)  
**THE LAW FIRM OF GARY R. STANFORD**

Austin, TX 78703

Telephone: 512-474-7678

**Facsimile:** 512-477-4080

Full name of sole (or first joint) inventor:		Bertrand Sosa	
Inventor's Signature:		Date:	09.14.00
Residence:	Travis County, Texas		
Post Office Address:	11624 Jollyville Road, #938, Austin, Texas 78759	Citizenship:	Mexico

Full name of second inventor:		Rogelio Sosa	
Inventor's Signature:		Date:	09.14.2000
Residence:	Travis County, Texas		
Post Office Address:	11624 Jollyville Road, #938, Austin, Texas 78759	Citizenship:	US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Sosa et al.

Serial No.: Not Yet Assigned

Filed: Concurrently herewith

For: A Transaction System and Method  
Using Cash Cards And A Charge  
Settlement Network

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Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Atty. Docket No.: NETS:0005

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(F) AND 1.27(c)) – SMALL BUSINESS CONCERN**

I hereby declare that I am an official of the small business concern empowered to act on behalf of the concern identified below:

**Name of Concern:** Netspend Corporation

**Address of Concern:** 501 Congress Avenue, Suite 18  
Austin, Texas 78701

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed, to and remain with the small business concern identified above with regard to the invention, entitled **A Transaction System and Method Using Cash Cards And A Charge Settlement Network** by inventors **Bertrand Sosa and Rogelio Sosa** described in:

X the specification filed herewith.  
— application serial no. \_\_\_\_\_, filed \_\_\_\_\_.  
— patent no. \_\_\_\_\_, issued \_\_\_\_\_.

096517124-091400

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Name: \_\_\_\_\_

Address: \_\_\_\_\_

☐ Individual

☐ Small Business Concern

☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NETSPEND CORPORATION

By: Rogelio Sosa

Name: Rogelio Sosa

Title: Chief Executive Officer

Address of Person Signing:

501 Congress Avenue, Suite 18, Austin, Texas 78701

Date: September 14, 2000

\* NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

COPY

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

- ☒ New  
☐ Resubmission (Non-Recordation)  
Document ID#

☐ Correction of PTO Error

Reel #  Frame #

☐ Corrective Document

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**Conveyance Type**

- ☒ Assignment ☐ Security Agreement  
☐ License ☐ Change of Name  
☐ Merger ☐ Other

**U.S. Government**

(For Use ONLY by U.S. Government Agencies)

- ☐ Departmental File ☐ Secret File

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- ☐ Mark if additional names of conveying parties attached

Name (line 1)

Execution Date  
Month Day Year  
**09 14 00**

Name (line 2)

**Second Party**

Name (line 1)

Execution Date  
Month Day Year  
**09 14 00**

Name (line 2)

**Receiving Party**

- ☐ Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

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Address (line 2)

Address (line 3)     
City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

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Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

Correspondent Name and Address

Area Code and Telephone Number

512-474-7678

Name

Gary R. Stanford

Address (line 1)

610 West Lynn

Address (line 2)

Austin, TX 78703

Address (line 3)

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments

# 3

Application Number(s) or Patent Number(s)

☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

PCT

PCT

PCT

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT

PCT

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Number of Properties

Enter the total number of properties involved.

# 1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40.00

Method of Payment:

Enclosed

☒

Deposit Account

☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 50-1469

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Gary R. Stanford

Name of Person Signing

Signature



September 14, 2000

Date